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JC849 U.S. PTO

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

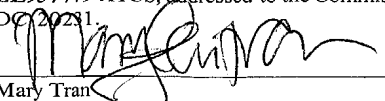
I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on January 29, 2002 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL937779411US**, addressed to the Commissioner for Patents, Washington, DC 20231.

Attorney Docket No.:

SRI1P044/US-4390-2

First Named Inventor: Pelrine

J1050 U.S. PTO  
10/059033  
01/29/02

  
Mary Tran

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Ronald E. Pelrine and Roy D. Kornbluh**

For: **NON-CONTACT ELECTROACTIVE POLYMER ELECTRODES**

Assigned to: SRI International

Application Elements:

- ☒ **30** Pages of Specification, Claims and Abstract  
☒ **7** Sheets of formal Drawings  
☐ Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☐ Do not publish this application. Nonpublication Request is attached.  
☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
☐ Preliminary Amendment  
☒ Return Receipt Postcard  
☒ Limited Recognition Under 37 C.F.R. §10.9(b) for William J. Plut

☒ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

**PLEASE DEFER FILING FEES AT THIS TIME.**

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	39	MINUS	20	= 19	x 18 =	342.00
INDEP.	3	MINUS	3	= 0	x 84 =	
[ ] First presentation of multiple dependent claim					\$280 =	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$740 =	740.00
TOTAL						1,082.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

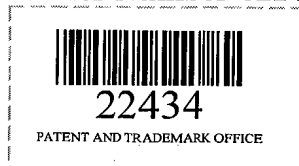
☐ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. ).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. SRI1P044).

☒ Please send correspondence to the following address:

**Customer Number 022434**



Date: January 29, 2002

*William J. Plut*  
 William J. Plut  
 Limited Recognition Under 37 CFR §10.9 (b)

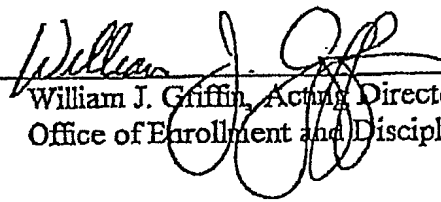
BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATES PATENT AND TRADEMARK OFFICE

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

William Plut is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of the Beyer, Weaver, and Thomas, LLP law firm to prepare and prosecute patent applications wherein the patent applicant is the client of the Beyer, Weaver, and Thomas, LLP law firm, and the attorney or agent of record in the applications is a registered practitioner who is a member of the Beyer, Weaver, and Thomas, LLP law firm. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) William Plut ceases to lawfully reside in the United States, (ii) William Plut's employment with the Beyer, Weaver, and Thomas, LLP law firm ceases or is terminated, or (iii) William Plut ceases to remain or reside in the United States on an TN visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the United States Patent and Trademark Office.

Expires: June 10, 2002

  
William J. Griffin, Acting Director  
Office of Enrollment and Discipline

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